

P24175.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P24175	Total Pages	
Inventor(s) or Application Identifier	Hiroshi SHINGAI, Hiroshi CHIHARA, Yoshitomo TANAKA, Masahiro OISHI and Hajime UTSUNOMIYA		
Title:	OPTICAL RECORDING MEDIUM		

17858 US
10/657232
09/09/03

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>25</u>] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>5</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>4</u>] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <p style="margin-left: 20px;">[Note Box 6 below]</p> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>	<p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies </p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>1</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) </p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>TDK Corporation of Tokyo, Japan</u></p> <p>19. <input type="checkbox"/> Other: _____ _____ _____ _____</p>
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u> </u> / <u> </u>, filed <u> </u>. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i> </p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <u> </u> continuation-in-part, <u> </u> continuation, <u> </u> divisional, of Application No. <u> </u> / <u> </u>, filed <u> </u>.</p>	

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9/9/03
Date


Signature
33,329

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

P24173702
6998 U.S. PTO
0/60/60

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$790.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Hiroshi SHINGAI et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number P24175

METHOD OF PAYMENT (check one)

- The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

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- Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 01/01/03)

1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	<u>750.00</u>
1002	330	2002	165	Design filing fee	_____
1003	520	2003	260	Plant filing fee	_____
1004	750	2004	375	Reissue filing fee	_____
1005	160	2005	80	Provisional filing fee	_____

SUBTOTAL (1) (\$ 750.00)

2. CLAIMS

Total Claims	-20=	Extra 0	x 18	= Fee Paid 0
Independent 2	-3=	0	x 84	= 0
Claims				
Multiple Dependent Claims		0	x 280	= 0

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim	
1204	84	2204	42	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	_____
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	_____
1053	130	1053	130	Non-English specification	_____
1812	2,520	1812	2,520	For filing a request for reexamination	_____
1804	920*	1804	920*	Requesting publication of SIR	_____
				Prior to Examiner action	_____
				Requesting publication of SIR after Examiner action	_____
1251	110	2251	55	Extension for response within 1st month	_____
1252	410	2252	205	Extension for response within 2nd month	_____
1253	930	2253	465	Extension for response within 3rd month	_____
1254	1,450	2254	725	Extension for response within 4th month	_____
1255	1,970	2255	985	Extension for response within 5th month	_____
1401	320	2401	160	Notice of Appeal	_____
1402	320	2402	160	Filing a brief in support of an appeal	_____
1403	280	2403	140	Request for oral hearing	_____
1451	1,510	1451	1,510	Petition to institute a public use proceeding	_____
				Petition to revive unavoidably abandoned application	_____
1452	110	2452	55	Petition to revive unintentionally abandoned application	_____
1453	1,300	2453	650	Utility issue fee (or reissue)	_____
1501	1,300	2501	650	Design issue fee	_____
1502	470	2502	235	Plant issue fee	_____
1503	630	2503	315	Petitions to the Commissioner	_____
1460	130	1460	130	Petitions related to provisional applications	_____
1807	50	1807	50	Submission of IDS	_____
1806	180	1806	180	Recording each patent assignment per property (times number of properties)	40.00
8021	40	8021	40	Filing a submission after final rejection (37 CFR 1.129(a))	_____
1809	750	2809	375	For each additional invention to be examined (37 CFR 1.129(b))	_____
1810	750	2810	375	Request for Continued Examination (RCE)	_____
1801	750	2801	375	Request for expedited examination of a design application	_____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) (\$ 40.00)

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Typed or Printed Name

Bruce H. Bernstein

Complete (if applicable)

Reg. Number

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Signature

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Date 9/9/03

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